

*This brochure supplement provides information about Monique Harris that supplements the NAMCOA- Naples Asset Management Co., LLC brochure. You should have received a copy of that brochure. Please contact Paul McIntyre if you did not receive the NAMCOA - Naples Asset Management Co., LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Monique Harris is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Monique Harris**

Personal CRD Number: 2936852

**Investment Adviser Representative**

NAMCOA<sup>®</sup> - Naples Asset Management Company<sup>®</sup>, LLC  
999 Vanderbilt Beach Road, Suite 200  
Naples, FL 34108  
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Email: [mharris@namcoa.com](mailto:mharris@namcoa.com)  
Website: [www.NAMCOA.com](http://www.NAMCOA.com)

## Item 2: Educational Background and Business Experience

**Name:** Monique Harris

**Born:** 1976

### **Educational Background and Professional Designations:**

- BBA & BA Psychology, University of New Mexico, Albuquerque, New Mexico
- Master of Finance Study, Tulane University, New Orleans, Louisiana

### **Examinations & Licenses:**

- General Securities Representative Examination Series 7, 2001
- Series 31 - Futures Managed Funds Examination, 2001
- Uniform Securities Agent State Law and Investment Advisor Combined Examination Series 66, 2002
- SIE - Securities Industry Essentials Examination, 2017
- Life, Annuity, Long-Term Care and Health Insurance Licenses: TX & LA, 2001

### **Business Background:**

2021 - Present	NAMCOA® - Naples Asset Management Company®, LLC Investment Adviser Representative
2008-2020	La Vigne Holdings President
2019 -2020	Primerica Financial Services Registered Representative
2020 - 2020	MSC-BD, LLC Registered Representative
2012-2017	Lifemark Securities Registered Representative
2009-2012	MML Investors Registered Representative
2003 - 2008	Merrill Lynch

1/2001 – 7/2003  
Registered Representative  
Morgan Stanley  
Registered Representative

**Associations:**

2003- Present      National Association of Securities Professionals (NASP)  
2003-Present      Houston Ebony Opera Guild  
2014- Present      The Smahrt Girls Foundation

**Item 3: Disciplinary Information**

No regulatory events in the advisor’s history.

**Item 4: Other Business Activities**

Monique Harris is also a licensed insurance agent. From time to time, she will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Naples Asset Management Co., LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Naples Asset Management Co., LLC in their capacity as a licensed insurance agent.

Below are current business activities outside the scope of Naples Asset Management Company, LLC that Monique Harris is involved:

- La Vigne Investment Properties, LLC 201 Saint Charles Ave Suite 2500, New Orleans, LA 70170 2016 to present. Business/Activity: Investing in real estate. Non-investment related. Ten hours per month.

- La Vigne Holdings, 6343 Mystic Bridge Drive, Houston, TX 77021 2008 to present. Business/Activity: Various business ventures and business consulting activity, non-investment related. Non-investment related. Twenty hours per month.

### Item 5: Additional Compensation

Nothing to disclose.

### Item 6: Supervision

As a representative of Naples Asset Management Co., LLC, Monique Harris is supervised by Paul McIntyre, the firm's Chief Compliance Officer. Paul McIntyre is responsible for ensuring that Monique Harris, adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Paul McIntyre is (239) 287-3789.



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