

This brochure supplement provides information about Paul McIntyre that supplements the Naples Asset Management Co., LLC brochure. You should have received a copy of that brochure. Please contact Paul McIntyre if you did not receive Naples Asset Management Co., LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Paul McIntyre is also available on the SEC's website at www.adviserinfo.sec.gov.



Form ADV Part 2B – Individual Disclosure Brochure

for

Paul McIntyre

Personal CRD Number: 1002368

Managing Director & Chief Compliance Officer

Investment Adviser Representative

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Updated: 3/25/2023

Item 2: Educational Background and Business Experience

Name: Paul McIntyre **Born:** 1951

Education:

- Certified Exit Planning Advisor, CEPA™, Exit Planning Institute (EPI) 2022
- Professional Plan Consultant designee (PPC™), Center for Fiduciary Studies 2016
- Accredited Investment Fiduciary designee (AIF®), Center for Fiduciary Studies 2015
- Certified Retirement Counselor Certification (CRC®), International Foundation for Retirement Education (InFRE) - 2003.
- Certified Retirement Administrator Certification (CRA®), International Foundation for Retirement Education (InFRE) - 2003
- Dorsey Wright Technical Institute, Point and Figure Charting Certification - 2002
- Certified Investment Consultants Program, (CIMC). George Washington University, Washington, DC. - 2001
- Certified Financial Manager Program (CFM), Merrill Lynch, Donald T. Regan School of Advanced Financial Management - 1983
- LUTC - Life Underwriter Training Courses I & II - 1979
- Commercial Insurance Licensing & Training Certification, Michigan State University, East Lansing, College of Education - 1978
- Bachelor Business Education, Eastern Michigan University - 1978

Business Background:

- 01/2004 - Present | Managing Director & Chief Compliance Officer
Naples Asset Management Co., LLC, dba First Oregon, LLC.
- 10/2022 - Present | First Oregon, LLC, Advisor,
Succession Planning Consulting Services
- 02/2004 - Present | Chief Compliance Officer
MSC-BD, LLC, FINRA Member Broker Dealer
- 11/2002 - Present | Arbitrator Neutral ID: A32360
Financial Industry Regulatory Authority
- 11/1985 - Present | Continuing Education Provider
Instructor / Course Moderator
- 07/1978 - 2004 | Various NASD Member Broker Dealer Firms
Registered Representative / Principal

Current Examinations & Licenses:

- FINRA: Series 7 (General Securities) 1981
- Series 99 (Financial Operations Principal) 2011
- Series 79 (Mergers and Acquisitions) 2010
- Series 24 (General Securities Principal) 1999
- Series 63 (Blue Sky Law) 1982
- NASAA: Series 65 (Investment Adviser Law) 1995
- Life, Annuity and Health Insurance 1978.

Current Professional Designations: AIF®, CRC®, CEPA™

Paul McIntyre has been awarded the **Certified Exit Planning Advisor (CEPA™)** designation from the **Exit Planning Institute** (the Institute), the standards-setting body for CEPA™ advisors. Website The **Exit Planning Institute** was established in 2004 and is based in Westlake Ohio. The Institute administers the CEPA training and accreditation program. Website: www.exit-planning-institute.org.

CEPA™ - Certified Exit Planning Advisor Credentialing Program

The CEPA™ training program is an Executive MBA-style program that trains and certifies qualified professional advisors in the field of business succession exit planning. The program is governed by a Code of Ethics that CEPA designees abide too.

Developed and taught by a diverse team of over 15 nationally-recognized experts, the CEPA™ program offers students an innovative learning experience, performance-enhancing resources, and the strategic insights and tools to help the professional advance their practice, to work with business owners and other key advisors.

To qualify for the CEPA™ program, an Advisor must have a minimum of at least five years relative business experience, followed by the completion of additional pre-work, classroom attendance, other course work and exam. Participants who complete the CEPA™ program and pass a closed book proctored exam, receive the Certified Exit Planning Advisor (CEPA™) credential. The CEPA™ program itself qualifies for continuing education credits with multiple major professional associations.

The Certified Exit Planning Advisor (CEPA™) credential was designed for professional advisors to be more effective when serving business owners. Through the process of Exit Planning (the Value Acceleration Methodology), business owners can build more valuable companies, have stronger personal financial plans, and align their personal goals.

Earning the CEPA™ is intended to enhance the ability of a financial advisor to engage a business owner and have value-added conversations around growth and an exit from their business.

The CEPA™ program has a robust continuing education requirement, calling for forty hours of learning every three years.

AIF®

Paul McIntyre has been awarded the **ACCREDITED INVESTMENT FIDUCIARY®** (AIF®) designation from the Center for Fiduciary Studies™ (the Center), the standards-setting body for fi360.

The AIF designation signifies specialized knowledge of fiduciary responsibility and the ability to implement policies and procedures that meet a defined standard of care. The designation is the culmination of a rigorous training program, which includes a comprehensive, closed-book final examination under the supervision of a proctor, and agreement to abide by the Code of Ethics and Conduct Standards. On an ongoing basis, completion of continuing education and adherence to the Code of Ethics and Conduct Standards are required to maintain the AIF designation.

Based near Pittsburgh, PA., fi360 is the first full-time training and research facility for fiduciaries and conducts training programs throughout the United States and abroad. The Center for Fiduciary Studies confers the AIF designation.

About fi360

fi360 helps its investment advisory clients gather, grow, and protect client assets through better investment and decision-making. Since 1999, fi360 has been providing innovative solutions to financial services providers, including its AIF® training program, the fi360 Toolkit™ software, and fi360 Fiduciary Score®. Their vision is to be the leading provider of services that raise the level of professionalism in investment management.

The Center for Fiduciary Studies

The Center for Fiduciary Studies is the standards-setting body for Fi360 and is supported by a team of experienced investment practitioners, attorneys, educators, and other professionals. The Center for Fiduciary Studies develops and maintains the Prudent Practices™ defined in our handbooks and awards the Accredited Investment Fiduciary® (AIF®) and Accredited Investment Fiduciary Analyst® (AIFA®) professional designations. In addition, the Center is responsible for overseeing the body of knowledge that forms the basis for its curriculum, examinations, and certifying qualifications.

CRC®

InFRE's **CERTIFIED RETIREMENT COUNSELOR®** (CRC®) is a retirement-specific, accredited certification that exists to raise the retirement readiness of the American Worker through professional mastery of subject matter, commitment to the retirement planning profession, adherence to a code of ethics, and continuing education.

The CRC Board of Standards and Policy Development (BOS) is the policy-making and oversight group for the CRC. The purpose of the BOS, as determined by InFRE's Board of Governors, is to independently establish the governing rules and regulations related to certification programs, make determinations regarding eligibility and all essential certification decisions, and provide mediation and interpretations for the program as needed by staff and other volunteer groups. In addition, the Board of Standards regulates the use of the CRC mark through trademark law by authorizing individuals who meet its certification requirements to use the marks.

The Handbook for Certified Retirement Counselors contains essential information Certificants need to know to maintain certified status, including a CRC Code of Ethics, meeting annual continuing education requirements and filing a Certificate Holders' Annual Statement which provide Certificants guidance to operate at the highest level of professional standards.

Infre

The International Foundation for Retirement Education® (InFRE) is a non-profit 501(c)(3) organization founded in 1997. Their mission is to raise the retirement readiness of the American worker. With InFRE, retirement-focused professionals have access to quality education and tools so they can assist employees and clients with their retirement preparedness needs. Website: www.Infre.org.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Paul McIntyre is a registered principal and Compliance Officer of a FINRA member Broker Dealer. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment

adviser. A registered investment advisor (RIA) must always act in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services any representative of Naples Asset Management Co., LLC in such individual's outside capacity.

Since 2002, Paul McIntyre has served as an Arbitrator, ID: A32360 for FINRA (Financial Industry Regulatory Authority). From time to time, he will serve on an arbitration panel or serve as the Chairperson, to assist in FINRA related arbitration hearings.

Paul McIntyre is a licensed insurance agent and real estate agent. From time to time, he will offer clients advice or products, or conduct market research from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Naples Asset Management Co., LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Naples Asset Management Co., LLC in their capacity for insurance or real estate brokerage services.

Paul McIntyre is a continuing education provider for CPAs, Attorneys, Insurance Agents and Registered Representatives. He organizes, oversees, and provides continuing education courses for industry professionals to help satisfy or supplement regulatory CE requirements.

Item 5: Additional Compensation

Paul McIntyre does not receive any economic benefit from any person, company, or organization, other than as described above, in exchange for providing clients advisory services.

Item 6: Supervision

As the Chief Compliance Officer of Naples Asset Management Co., LLC, he supervises all activities of the firm. Paul McIntyre's contact information is on the cover page of this disclosure document. Paul McIntyre adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

