

This brochure supplement provides information about Doak Belt that supplements the Naples Asset Management Co., LLC brochure. You should have received a copy of that brochure. Please contact Doak Belt if you did not receive Naples Asset Management Co., LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Doak Belt is also available on the SEC's website at www.adviserinfo.sec.gov.



Form ADV Part 2B – Individual Disclosure Brochure

for

Doak R. Belt

Personal CRD Number: 2975913
Investment Adviser Representative

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UPDATED: 5/7/2023

Item 2: Educational Background and Business Experience

Name: Doak R. Belt

Born: 1973

Education:

- Bachelor of Arts, Social Science-Economics, Colorado State University - 1997

Business Background:

- 04/2021 – Present | Investment Advisor Representative
Naples Asset Management Co., LLC
- 06/2018 – 04/2021 | Investment Advisor Representative
Signature Resources Capital Management, LLC
- 04/2014 – 06/2018 | Registered Representative
MML Investors Services, LLC
- 10/2010 – 04/2014 | Registered Representative
The O.N. Equity Sales Company
- 04/2002 – 07/2010 | Registered Representative
Park Avenue Securities, LLC
- 11/1997 – 4/2002 | Registered Representative
Various FINRA Member Broker Dealer Firms

Examinations & Licenses:

- Series 65 (Investment Adviser Representative) 2018
- Series 63 (Securities Agent) 1997
- Series 6 (Investment Company Products Representative) 1997-2015
- Life Insurance License and Accident & Health License
 - California 1998, Colorado 1997, Connecticut 2019, Florida 2008, Hawaii 2019, Massachusetts 2020, Maryland 2020, Michigan 2010, New Jersey 2020, Ohio 2020, Oregon 2023, Texas 2019, Virginia 2020

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Doak R. Belt is a 50% owner and financial advisor of Rockbridge Financial Partners (DBA), which provides comprehensive financial planning, business planning, retirement income planning and wealth management services to clients. Investment advice and fee for service planning is offered to clients through Naples Asset Management Company, LLC. Expense reduction services and consulting are offered during the planning process. Doak receives a fee for these services. He also creates expense reduction strategic partnerships. Insurance products are also offered from time to time during the planning process. Since 7/2022. Approximately 170 hours per month.

Doak R. Belt is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Naples Asset Management Co., LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Naples Asset Management Co., LLC in their capacity as a licensed insurance agent.

Below are current business activities outside the scope of Naples asset management company, LLC that Doak Belt is involved:

Doak R. Belt is Director, CEO and 50% owner of True Concepts Financial and Insurance Services, Inc. This is his personal corporation used for the purposes of operating and managing his business. Since 3/2011. Approximately 4 hours per month. Not investment related.

Doak R. Belt owns and manages rental property under Mountain Pacific Properties (DBA). Since 6/2004. Approximately 2 hours a month. Not investment related.

Associations:

Doak R. Belt is a member of National Association of Insurance and Financial Advisors (NAIFA). Member 2001 to present. Currently, he does not hold a board position.

Item 5: Additional Compensation

Doak R. Belt is compensated for his services to Naples Asset Management Co., LLC (NAMCOA) by receiving a percentage of the advisory fees that NAMCOA clients pay to NAMCOA for advisory services.

Item 6: Supervision

Paul McIntyre is responsible for supervising Doak R. Belt's activities. Paul McIntyre's contact information is on the cover page of this disclosure document. Doak R. Belt adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

